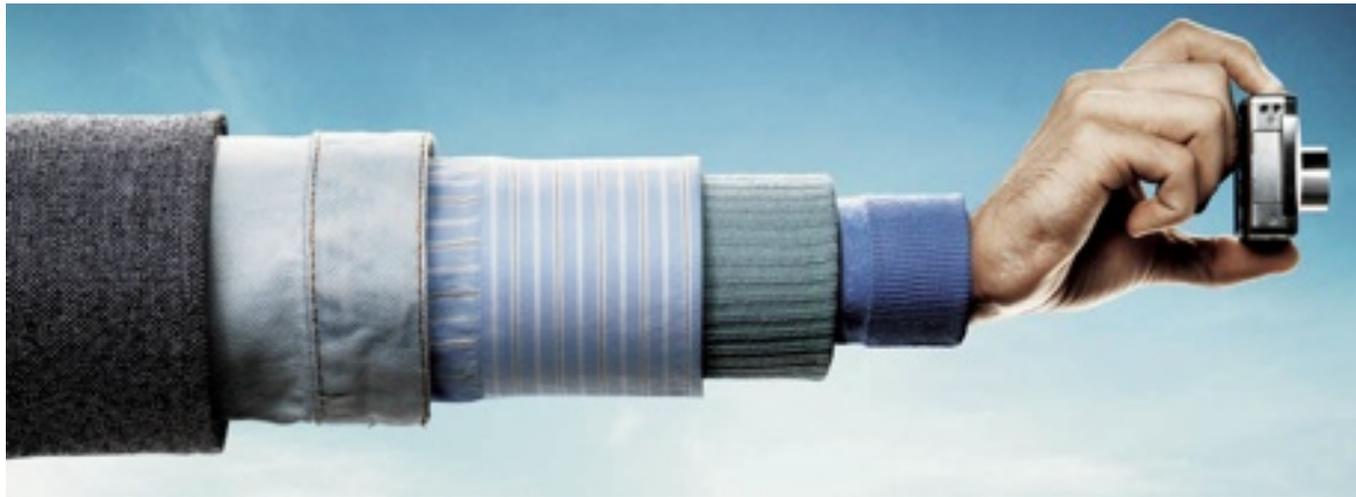


# Quantum stuff with optical PUFs



25 May 2018  
Crypto Working Group

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**TU/e**

# Outline

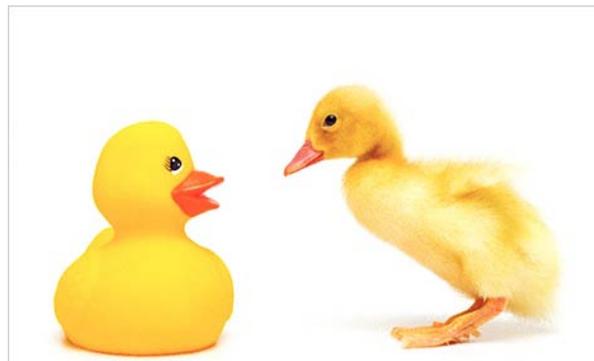
- Remote authentication of objects
- Unclonable Physical Functions (PUFs)
- Quantum readout of PUFs
  - theory
  - physical realization
  - security analysis
- Authentication of messages
- 1-way channel vs 2-way channel

# Authentication of Objects

## How do you verify if an object is authentic?

- Step 1: registration / enrollment
- Step 2: check if fresh observation matches enrolled data

State of the art: PUFs (classical objects)

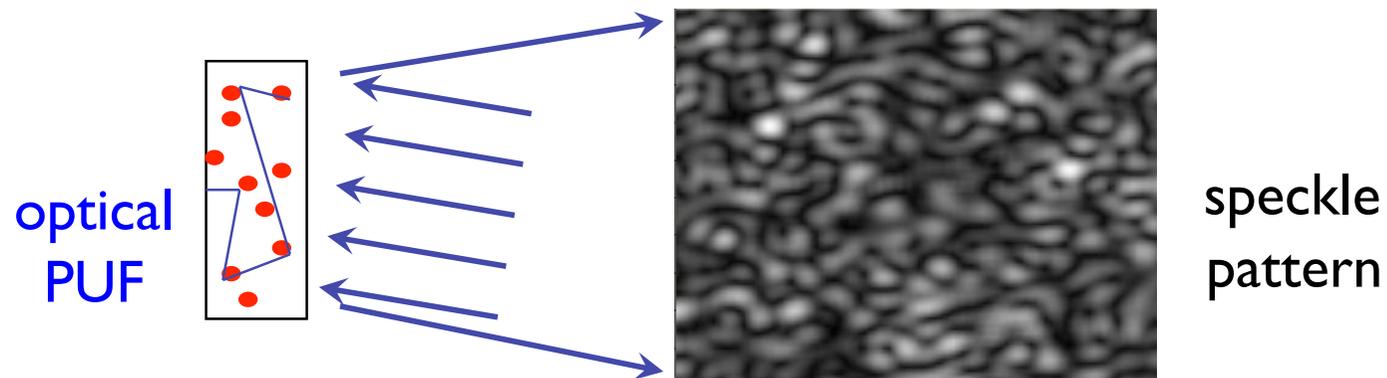


# Unclonable Physical Function

[Pappu et al. 2001]

PUF:

- physical object
- challenge & response
- behaves like a keyed hash function
- making physical clone is difficult



# Attacks on PUF authentication

Attack #1: exact physical cloning

Attack #2: physical emulation

- build a *different* system that produces correct responses

Attack #3: digital emulation

- build challenge-response table
- determine the challenge
- find the response in the table

Possible in theory;

Infeasible with  
current technology;

Arms race!

# "Hands-off" authentication of PUFs

## Attacker model:

- We want to authenticate a PUF
- It is in **hostile territory**
- No phys. cloning
- No phys. emulation (no arbitrary unitaries)
- PUF has limited entropy  $\Rightarrow$  **can be digitally emulated!**

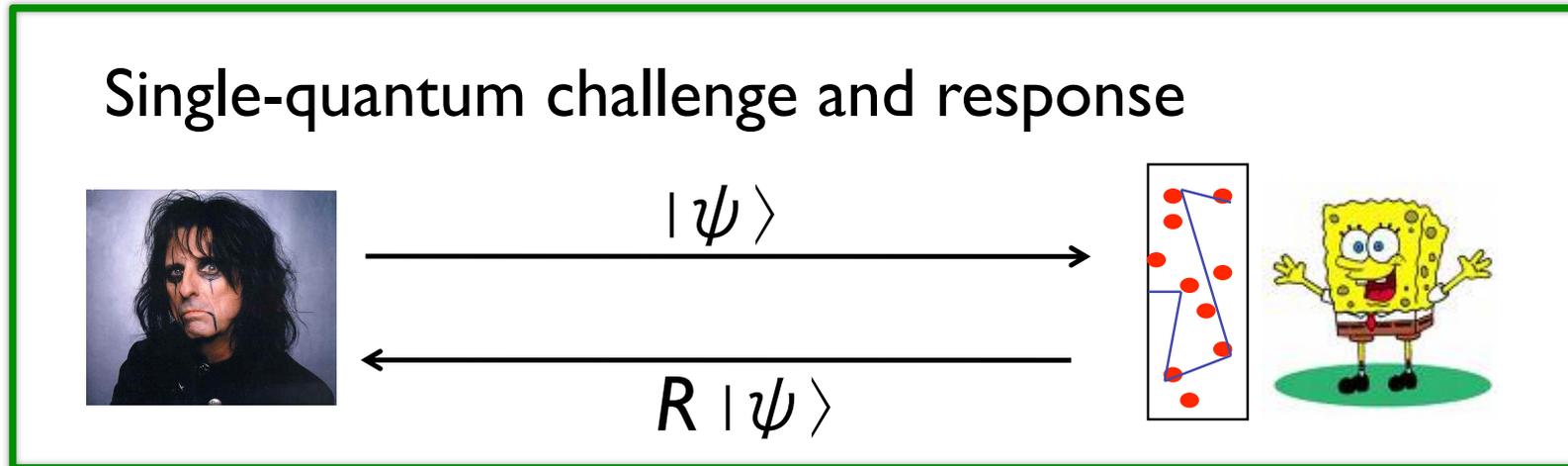
## (Classical) solution:

- *a **trusted device** in hostile territory*



Problem: unknown security, and expensive;  
"arms race" situation

## Single-quantum challenge and response

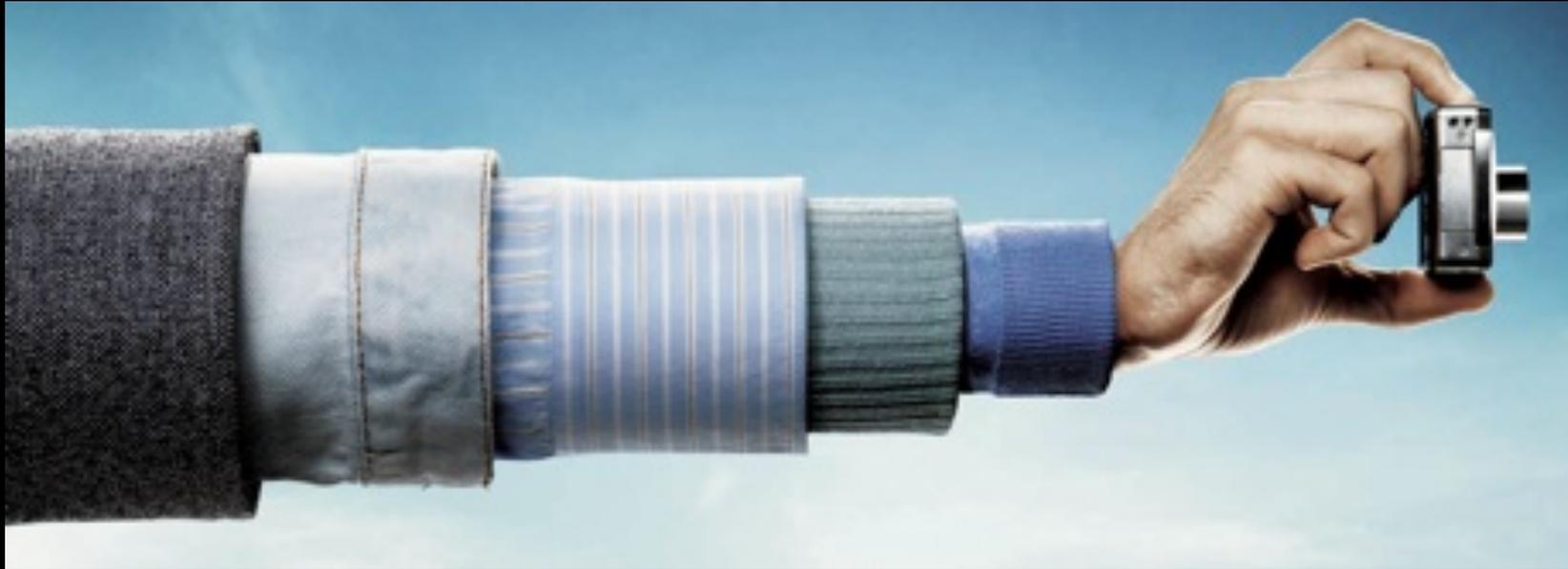


Why is this secure without trusted reader?

- Measuring destroys state information
- No-cloning theorem: unknown quantum cannot be copied

⇒ Attacker cannot figure out what the challenge is





The long arm of quantum physics

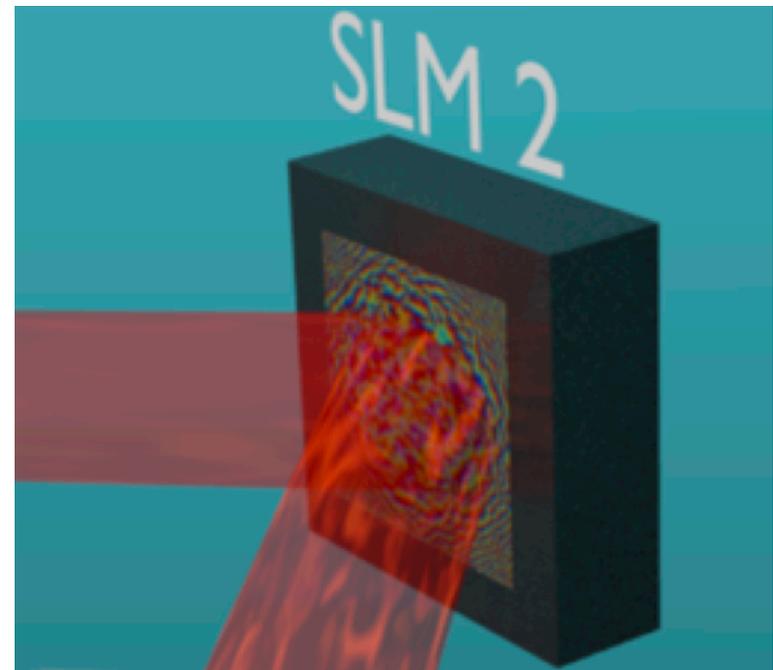
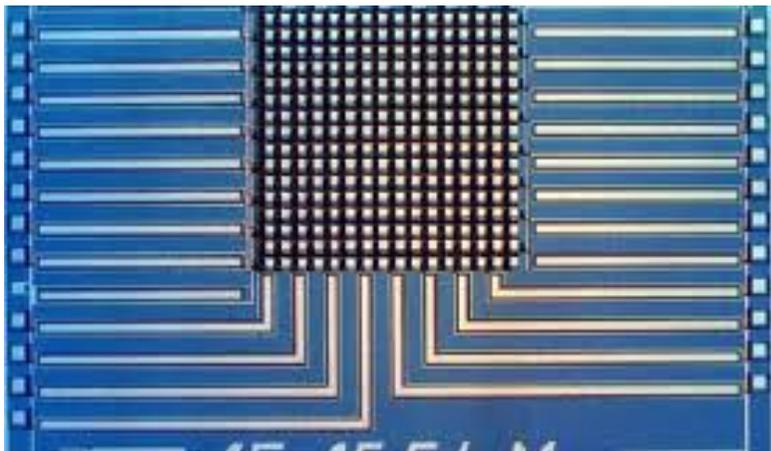
# Implementation is not trivial!

Problem:

- measurement reveals little info about photon
- how to verify a complex photon state?

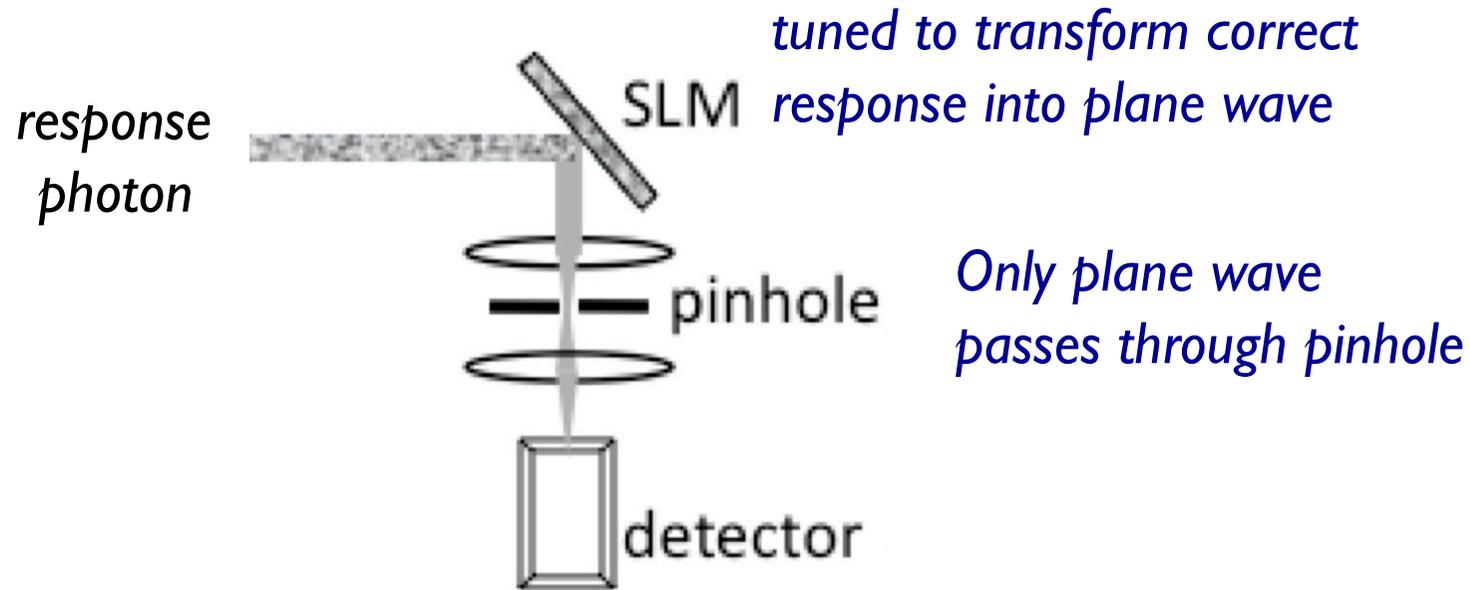
**Magical ingredient:** Spatial Light Modulator (SLM)

- Extract *one* strategically chosen bit of info:  
***correct speckle pattern or not?***



# Verifying single-photon speckle

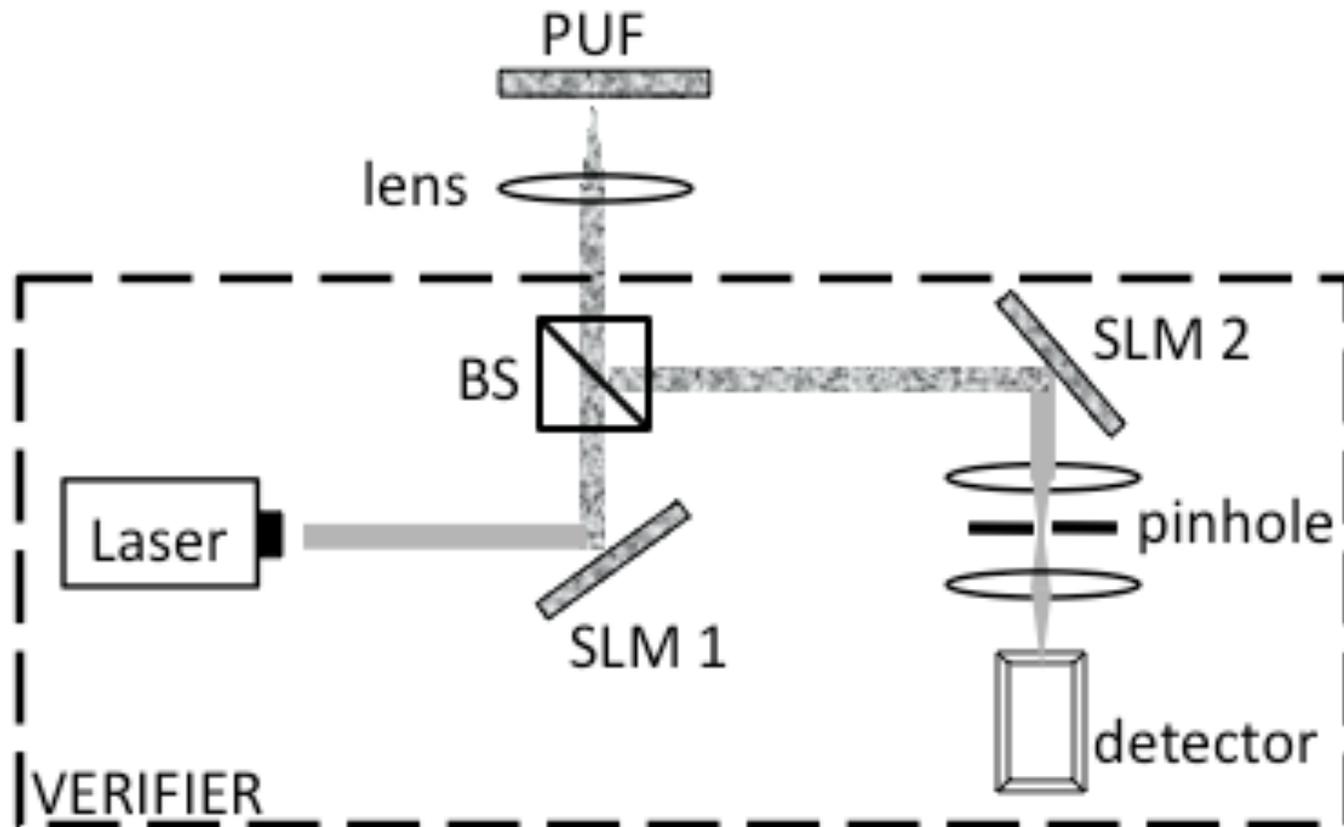
[Goorden et al. 2013]



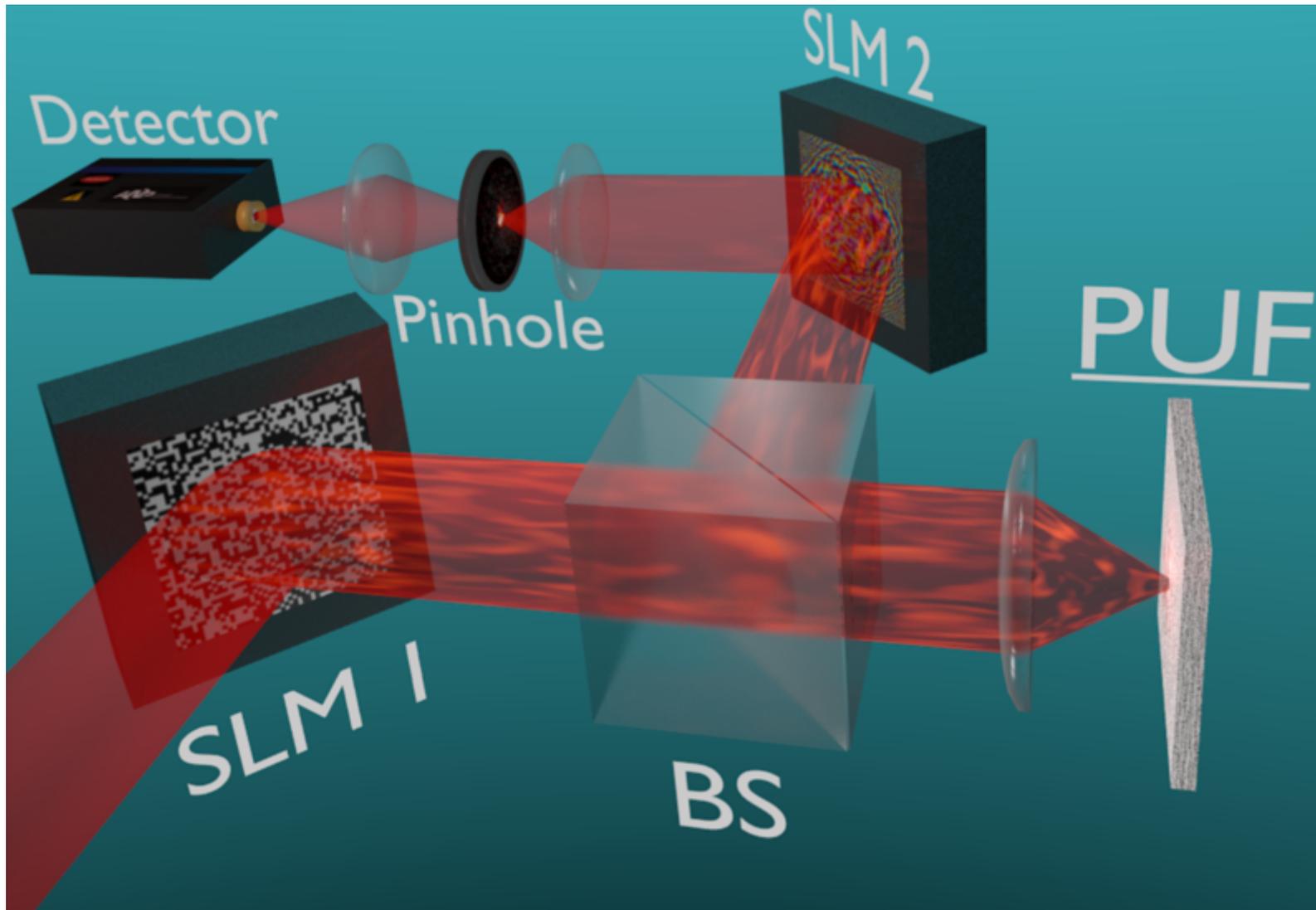
- correct PUF response  $\implies$  photon detection
- incorrect PUF response  $\implies$  no detection

# Experimental setup

[Goorden et al. 2013]

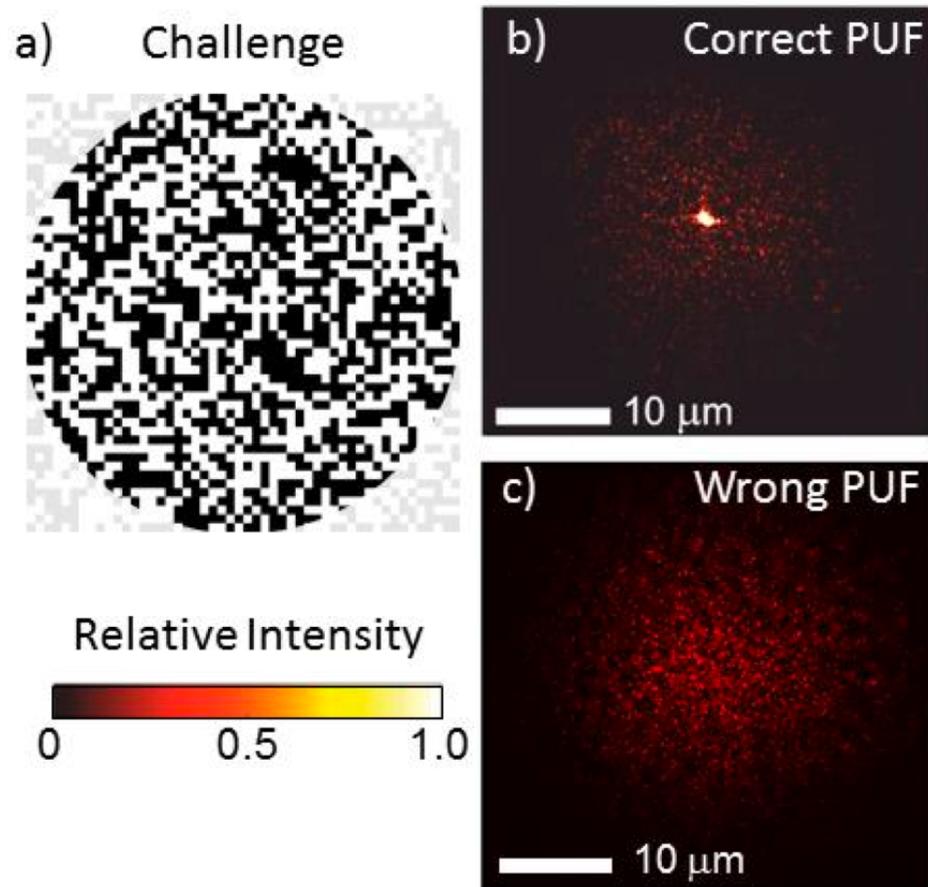


- Weak laser pulse: 230 photons
- 1000 SLM pixels

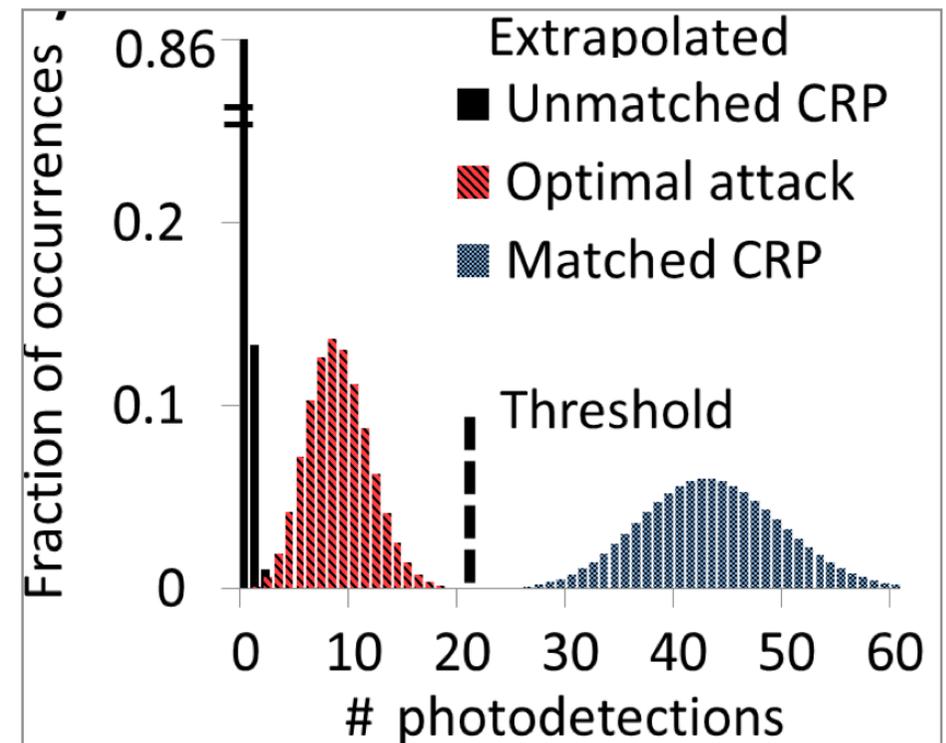


(Same thing, more fancy picture)

# Experimental results



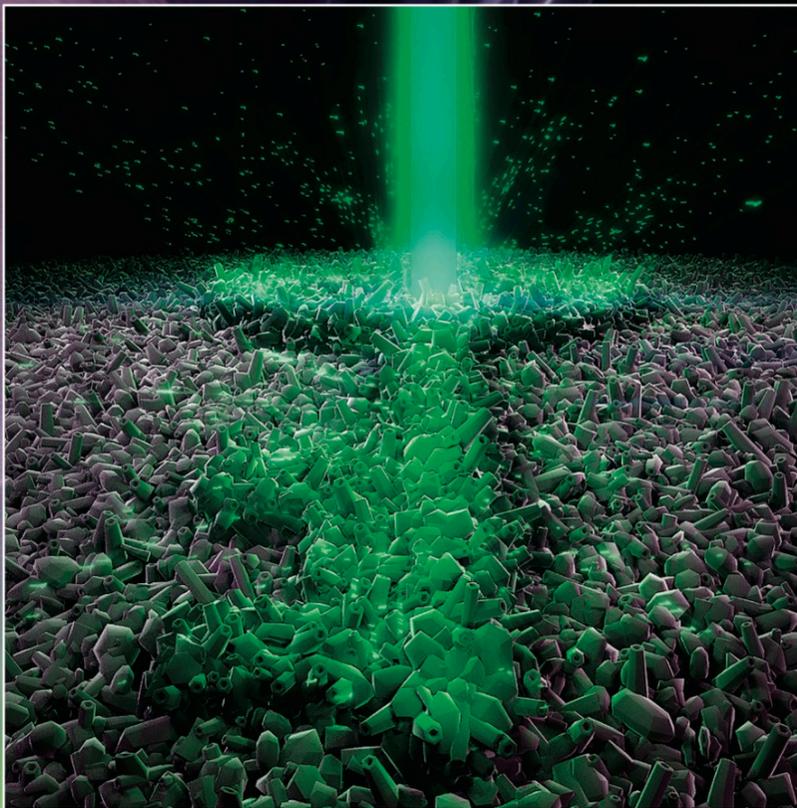
← *pattern after SLM2*



**Clear distinction between correct and incorrect response**

# optica

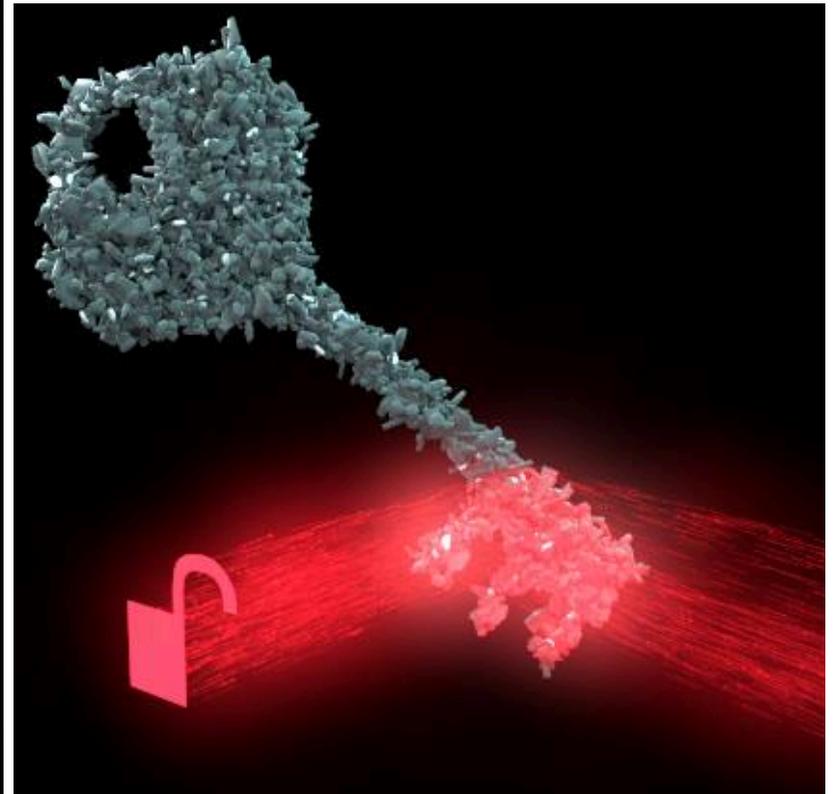
Volume 1 • Issue 6 • December 2014



OSA<sup>®</sup>  
The Optical Society

ISSN: 2334-2536

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QSA

*Quantum Secure Authentication*

Cover page, Dec. 2014

**Dutch physicists develop first fraud-proof credit card**  Like 

**Fraud-proof Credit Cards Possible with Quantum Physics**

# Security of QSA

## Attacker model:

- Attacker wants to authenticate without PUF
- All PUF properties are publicly known
- Attacker measures challenge state
  - ideal equipment
- Table Lookup based on best guess for challenge
- Attacker creates response state and sends it

## Result for one round:

$$\text{Prob}[\text{False Accept}] \approx \frac{n + 1}{n + K}$$

$n = \# \text{photons}$   
 $K = \# \text{modes}$

## Security of QSA (2/3)

Theorem by Bruss and Macchiavello (1999):

The maximum achievable fidelity for state estimation from  $n$  identical copies of a  $K$ -dimensional quantum system is

$$\frac{n + 1}{n + K}$$

## Security of QSA (3/3)

### **QSA security assumption:**

Attacker cannot do PUF transform as efficiently as the PUF

- *correctness*
  - *photon losses*
  - *speed*
- 

### **QSA engineering requirement:**

Side channel resistance

- *don't allow Eve to probe SLM states*
- *optical isolator at SLM1*
- *short (random) time windows*
- *open SLM2 path as late as possible*
- *detect flooding*
- *decoy challenges*

## QSA summary

Hands-off authentication of an object.

False Accept per round is approx  $n/K$ .  
Proof based on quantum physics.

Security depends on the "QSA assumption":  
difficult to perform PUF transform efficiently

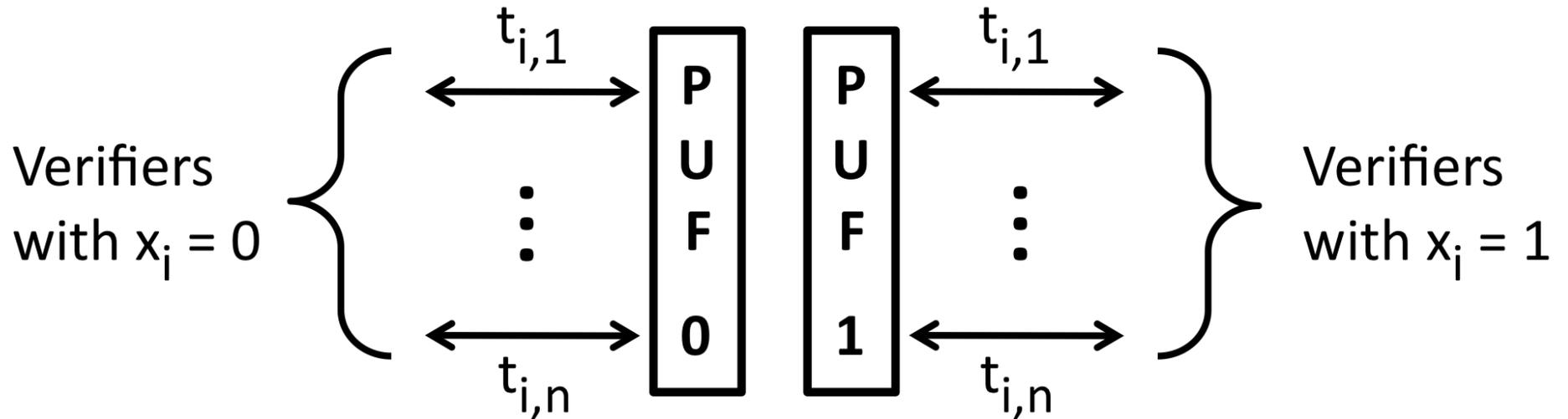
QSA requires two-way quantum channel.

QSA authenticates an object. But what about messages?

## New protocol variant called QSA-d

- Bob has PUF(0) and PUF(1)
- Bob broadcasts L-bit string  $x$
- L rounds of QSA
  - in round  $i$  Bob presents PUF( $x_i$ )
  - Alice knows  $x$  beforehand  $\Rightarrow$  knows how to prepare SLMs
- Alice now knows that PUF holder agrees with  $x$
- Security identical to QSA

# Parallel QSA-d



## Parallel operation

- multiple verifiers
- multiple messages

# QSA-d with quantum information

SLM config that can handle *two different wavefronts*

- [more photon losses]
- responses from PUF(0) and PUF(1)
- Alice does not know  $x_i$  beforehand



Trick: Bob sends superposition  $\alpha R_0|\psi\rangle + \beta R_1|\psi\rangle$ .

Alice receives authenticated  $(\alpha, \beta)$  state without knowing  $\alpha, \beta$ .

## QSA-d summary

Verification that PUF holder agrees with message  $x$ .

Security exactly the same as QSA.

Allows for authenticated quantum information.

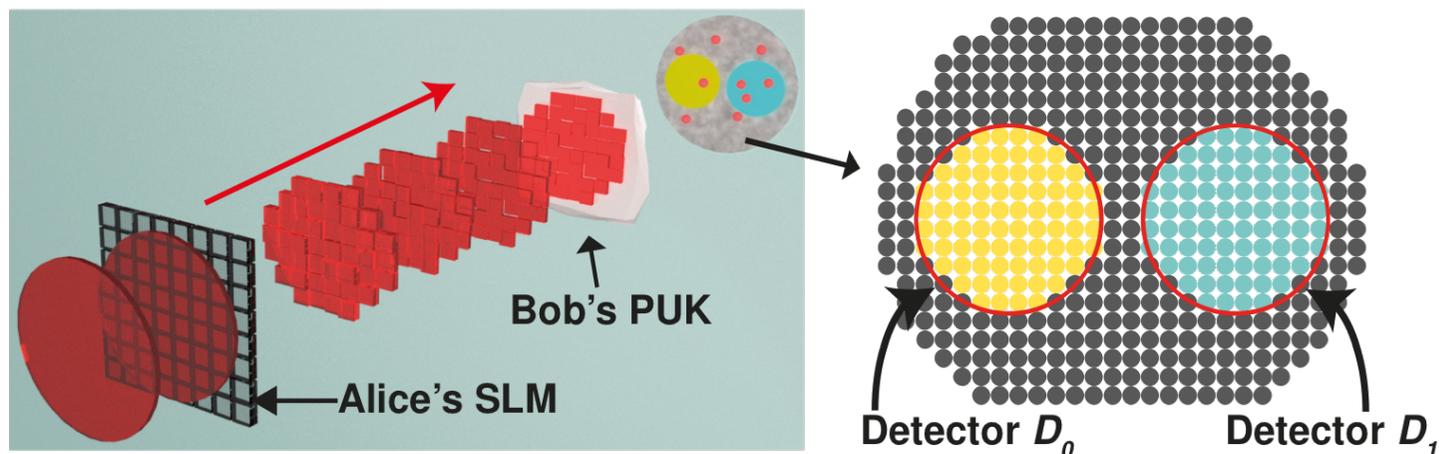
QSA-d too requires two-way quantum channel.

## PEAC

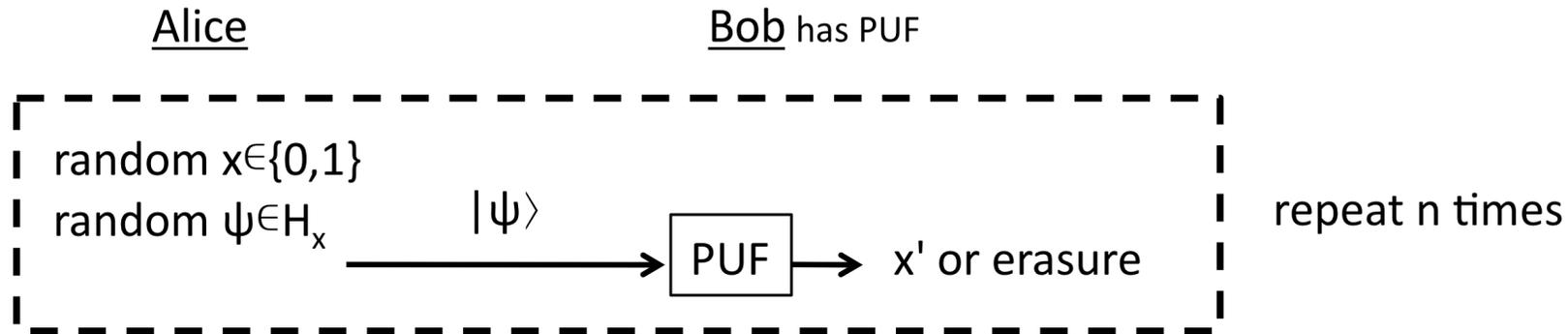
### *PUF-Enabled Asymmetric Communication*

Alice sends quantum states that Bob's PUF will map onto detector  $D_0$  or detector  $D_1$ .

- orthogonal Hilbert spaces  $H_0, H_1$
- to communicate  $x \in \{0,1\}$  send random  $|\psi\rangle \in H_x$ .



# PEAC protocol #1



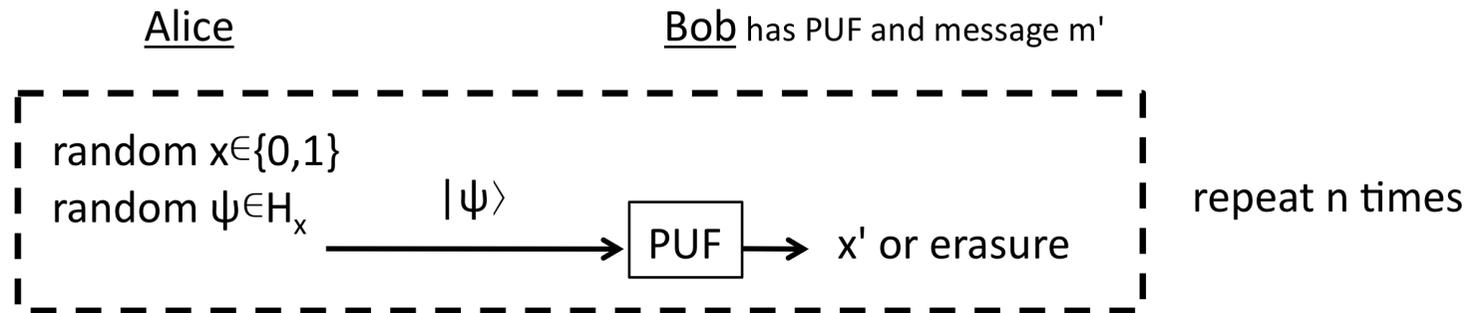
$L'$  = list of erasures  
 $z'$  =  $x'$  excluding  $L'$   
 $w'$  = Syn  $z'$   
 $k'$  = Ext1( $z'$ )  
 $q'$  = Ext2( $z'$ )  
 $t'$  = MAC( $k'$ ;  $L'w'$ )

←  $L', w', t'$

receive as  $L, w, t$   
 $y = x$  excluding  $L$   
 $z = \text{Rec}(y, w)$   
 $k = \text{Ext1}(z)$   
 $q = \text{Ext2}(z)$   
 $T = \text{MAC}(k; Lw)$   
 check if  $t=T$

*Alice has a shared key  $q$  with PUF holder.  
 They can do all kinds of protocol now.*

# PEAC protocol #2



$L'$  = list of erasures  
 $z'$  =  $x'$  excluding  $L'$   
 $w'$  = Syn  $z'$   
 $k'$  = Ext1( $z'$ )  
 $q'$  = Ext2( $z'$ )  
 $c'$  = Encrypt( $q'$ ;  $m'$ )  
 $t'$  = MAC( $k'$ ;  $c'L'w'$ )

←  $c', L', w', t'$

receive as  $c, L, w, t$   
 $y = x$  excluding  $L$   
 $z = \text{Rec}(y, w)$   
 $k = \text{Ext1}(z)$   
 $q = \text{Ext2}(z)$   
 $T = \text{MAC}(k; cLw)$   
 check if  $t=T$   
 $m = \text{Decrypt}(q; c)$

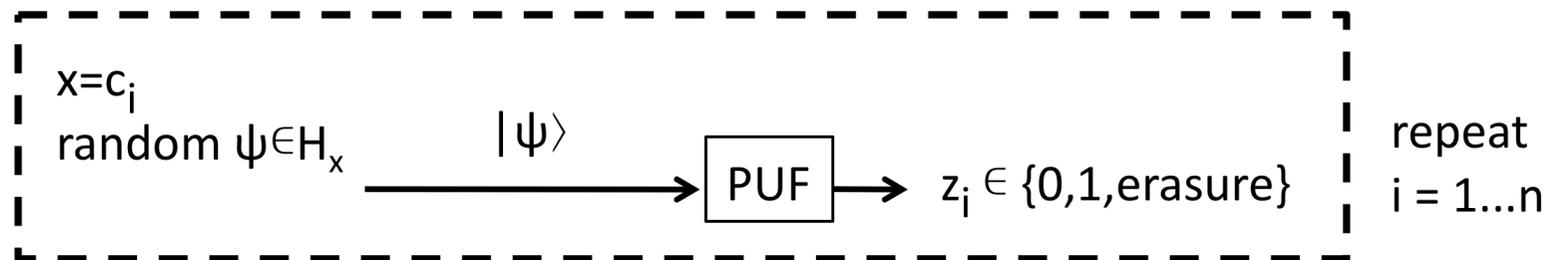
*Alice has received a confidential message from PUF holder.*

# PEAC protocol #3 (implemented)

Alice has message  $m$

Bob

$c = \text{ECC\_Encode}(m)$



$m' = \text{ECC\_Decode}(z)$

Simple protocol but more difficult to implement

- code must correct erasures
- erasure rate 59%, bit flip rate 43%
- we used a Polar code, excluding the Alice=>Eve channel (low code rate, 0.002)

# PEAC security

## **PEAC security assumption:**

Attacker cannot distinguish  $H_0, H_1$  as efficiently as the PUF

- *correctness*
- *photon losses*
- *speed*

*Distinguishing orthog. subspaces trivial in theory, hard in practice*

---

## **PEAC engineering requirement:**

Side channel resistance

- *don't allow Eve to probe SLM states*
- *optical isolator*
- *short time windows*
- *decoy states*

# PEAC security argument

Again based on  
[Bruss+Macchiavello 1999]

$\hat{\psi}$  is estimator for  $\psi$

$\{\psi, v_2, v_3, \dots, v_{K/q}\}$  is basis of correct  
Hilbert space

$$P_{\text{Eve}} = |\langle \hat{\psi} | \psi \rangle|^2 + \sum_{j=2}^{K/q} |\langle \hat{\psi} | v_j \rangle|^2$$

*fidelity F*

$$= F + \sum_{j=2}^{K/q} |\langle \hat{\psi} | v_j \rangle|^2$$

*directions are random*

$$\leq F + \sum_{j=2}^{K/q} \frac{1}{K}$$

*use [BM1999]*

$$\leq \frac{1}{q} + \frac{\langle n \rangle}{K} \frac{1 - \frac{1}{K}}{1 + \frac{\langle n \rangle}{K}}$$

q = alphabet size

n = #photons

K = #channels

## PEAC vs QSA

PEAC is less secure than QSA.

Breaking QSA  $\Rightarrow$  breaking PEAC.

PEAC needs only one-way quantum channel.

QSA can yield confidentiality *indirectly*  
(QKD+QSA).

PEAC can yield confidentiality *directly*.

# Summary

- QSA: PUF-based quantum authentication of objects
  - remote / hands-off
- PUF-based quantum authentication of data
  - classical and quantum info
  - data approved by PUF holder
- Physical security assumptions. Difficulty of
  - two-way (QSA): doing the PUF transform
  - one-way (PEAC): distinguishing subspaces